COURSE SYLLABUS

Compliance Auditing Course (Self-Paced)

Understand how to conduct audits focused on regulatory, contract, grant, and quality compliance using structured approaches.

> Group classes (Live Online) and onsite training are available for this course. For more information, email onsite@graduateschool.edu or visit: https://www.graduateschool.edu/courses/compliance-auditing-course-self-paced



support@graduateschool.edu •
(888) 744-4723

Course Outline

Module 1: Federal Compliance Overview and Anti-deficiency Act

- Understand what is meant by federal compliance
- Define the purpose and importance of federal compliance audits
- Understand GAGAS and federal audit requirements
- Understand guidance on conducting compliance audits
- Distinguish between federal noncompliance, fraud, and the Anti-Deficiency Act
- Understand core areas to include in federal compliance audits

Module 2: Federal Appropriations Compliance

- Overview of Federal Appropriations
- Federal Appropriations Compliance
- Federal Appropriations Compliance Audits
- Core Areas to Build into Federal Appropriations Compliance Audits

Module 3: Federal Contracts Compliance

- Overview of Federal Contracts
- What is Federal Contract Compliance
- Federal Contract Compliance Audits
- Core Areas to Build into Federal Contract Compliance Audits

Module 4: Federal IT Compliance

- Overview of Federal IT Compliance
- Overview of FISMA, NIST and Other Compliance
- IT Compliance Audits
- Core Areas to Build into IT Compliance Audits

Module 5: Federal Financial Process and Budget Compliance

- Brief overview of the Federal Financial Process and Budget Compliance

- Federal Financial Process and Budget Compliance Audits
- Core Areas to Build into Federal Financial Process and Budget Compliance Audits

Module 6: Plan, Execute, and Report on Federal Compliance Audits

- Audit Planning (Objectives)
- Audit Execution (Developing Elements)
- Audit Reporting (Findings, Cause, Criteria, Recommendations)
- Capstone Project: Compliance Audit Report Review