COURSE SYLLABUS

Government Audit Leader Level III Certificate Program (Self-Paced)

Advance your auditing impact by leading complex audit initiatives and driving organizational excellence. Develop leadership, risk management, and strategic audit planning skills.

> Group classes (Live Online) and onsite training are available for this course. For more information, email onsite@graduateschool.edu or visit: https://www.graduateschool.edu/certificates/government-audit-leader-level-iii-certificate-program-self-paced



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Course Outline

This package includes these courses

- Emotionally Intelligent Auditor: The Power of Influence and Situational Awareness Course (Self-Paced) (12 Hours)
- Selecting and Planning Audits for Return on Investment Course (Self-Paced) (8 Hours)
- Leadership, Motivation, and Accountability for High Performance Audit Organizations Course (Self-Paced) (12 Hours)
- Ethical Decision Making for Auditors Course (Self-Paced) (6 Hours)
- Managing the Audit Engagement Course (Self-Paced) (18 Hours)
- Enterprise Risk Management for Auditors Course (Self-Paced) (6 Hours)

Attend the AI Governance & Oversight Course for free as part of this certificate. Choose your date after you register for the program.

AI Governance & Oversight Course (Self-Paced)

Emotionally Intelligent Auditor: The Power of Influence and Situational Awareness Course (Self-Paced)

This executive-level seminar teaches strategies to manage emotions, navigate difficult interactions, and build trust during audit engagements. Learn to influence stakeholders, resolve conflicts, and lead audit teams with emotional clarity.

- Examine and work toward achieving these key characteristics of emotional intelligence for personal effectiveness in auditing and leading change - Self-awareness, Self-control, Attitude and Motivation, Empathy, and Social competence.
- Maintain control, power, and influence by managing challenging personalities and situations.
- Build strong working and personal relationships through mutual trust and confidence to overcome the natural resistance to change and maximize the personal leadership for buy-in and action.
- Apply critical thinking skills across the elements of emotional intelligence to achieve greater influence and situational awareness.

Selecting and Planning Audits for Return on Investment Course (Self-Paced)

Learn a structured approach to selecting audits that maximize organizational impact. You will apply a systematic risk and value assessment method to define objectives, plan scope, and present compelling proposals grounded in public benefit and stakeholder needs.

- Apply strategic customer value in assessing desired stakeholder needs.
- Perform a SWOT analysis and logic model to consider audit topic objectives.
- Use internal and external risk assessment to identify areas of vulnerability and opportunity as criteria for scoring potential audit benefits and results.
- Apply a cost/benefit approach to score the potential value of audit proposals.
- Describe the central role of objectives in performance auditing.
- Write objectives that provide clear direction for planning fieldwork, assigning staff, facilitating report writing and meeting auditing standards.
- Track audit progress and measure actual results.

Leadership, Motivation, and Accountability for High Performance Audit Organizations Course (Self-Paced)

Learn how to transition from being a skilled auditor to an effective leader by mastering motivation, accountability, and communication techniques. This course equips participants with tools to build trust, empower teams, and create a culture of high performance.

- Define and develop characteristics of leadership and leadership behavior
- Avoid the behaviors and actions that cause leaders to fail in leadership roles
- Set and articulate clear expectations through mission, vision, objectives, and policies
- Define management functions and how they differ from leadership
- Apply the right leadership style to fit the situation by learning to adjust
- Measure performance for individual and organizational results and accountability
- Delegate work and empower staff for growth and performance
- Use the appropriate style and methods of motivating staff
- Create a culture of innovation, trust, and teamwork
- Develop communication styles that encourage engagement and accountability

Ethical Decision Making for Auditors Course (Self-Paced)

Explore common ethical challenges auditors face—from clear-cut right vs. wrong temptations to complex right vs. right conflicts—and learn to apply proven frameworks for resolution. This hands-on self-paced workshop teaches how to mitigate risks, strengthen personal courage, and integrate ethics control systems within audit organizations.

- Anticipate and recognize ethical dilemmas as well as distinguish right vs. wrong temptations from the right vs. right ethical hazards and dilemmas that auditors face in their work.
- Select, describe, and use the appropriate ethical decision-making process from a survey of widely accepted models.
- Describe the causes of unethical behavior and methods of discouraging that behavior.
- Mitigate the internal and external inhibitors to carrying out decisions when there are potential risks involved.

Managing the Audit Engagement Course (Self-Paced)

This course teaches how to oversee audit assignments by assigning roles, scheduling tasks, managing resources, and ensuring

stakeholder communication. Case-based learning supports planning efficiency and audit quality.

- Describe what each phase of the audit process contributes to finding development.
- Describe the decisions that need to be made at each phase and the role that conferences play in making those decisions. Illustrate how well-stated objectives form the basis for field work, reporting and for monitoring the ongoing conduct of the audit.
- Define the purpose and product of a conference at the survey, planning, fieldwork verification and reporting phases; and describe which team members should attend, and their roles.
- Demonstrate a working knowledge of how to conduct a conference by participating in a case study simulation using a team-prepared agenda.

Enterprise Risk Management for Auditors Course (Self-Paced)

This focused, self-paced seminar trains auditors, managers, and analysts to integrate ERM into audit strategy using GAO's Green Book, COSO standards, and OMB A-123. Participants learn how to evaluate risk in mission and mission-support areas, build risk-aware cultures, and support organizational accountability using best practices in internal control.

- Explain the need for ERM.
- Describe risk in government.
- Explain leadership accountability for enterprise risk management.
- Identify strategies for building a risk culture.
- Use ERM to define risk to strategic goals.
- Articulate the role of ERM in the budget environment.