Managing the Audit Engagement Course

Learn structured methods for managing audit engagements from planning through closure with a focus on team coordination and objective completion.

Group classes in Live Online and onsite training is available for this course. For more information, email onsite@graduateschool.edu or visit:
https://www.graduateschool.edu/courses/managing-the-audit-engagement

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Course Outline

Module 1: What to Audit: Audit Selection

- Define the five stages of report development
- Describe the timing of story conferences during the course of an audit
- Describe and practice audit selection methods
- Explore the three sources for identifying what to audit: Annual plan, auditor ad hoc selection, and request
- Learn about quantitative selection methods for audits

Module 2: Where to Begin: The Role of Surveys

- Describe the role surveys play in planning
- Define three kinds of surveys: Strategic, Problem Identification, Design
- List survey design considerations
- Describe and compare the Pre-Survey and Post-Survey conferences
- Follow an agenda to conduct a meaningful Pre-Survey Conference
- Describe and produce a Survey Plan

Module 3: What to Include: Audit Design Considerations

- Define and use a formula for objectives that promotes development of finding elements
- Explain the relationship between objectives and findings
- Demonstrate how objectives provide a basis for planning
- Define the role of subobjectives in planning audit data collection and analysis steps
- Formulate audit objectives and develop audit programs

Module 4: How to Proceed: Formulating an Audit Plan

- Describe the role of the Post-Survey Conference in audit planning
- Follow an agenda to conduct a meaningful Post-Survey Conference
- Explain the basis for a "Go/No Go" decision
- Describe and produce an Audit Plan